

Analyzing KEM/DEM Hybrid Encryption in CCSA

M1 internship at LMF, CNRS, ENS Paris-Saclay

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September 5, 2024

In this Presentation

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- Proving KEM/DEM security in CCSA

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Hybrid Encryption

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PKE

KEM

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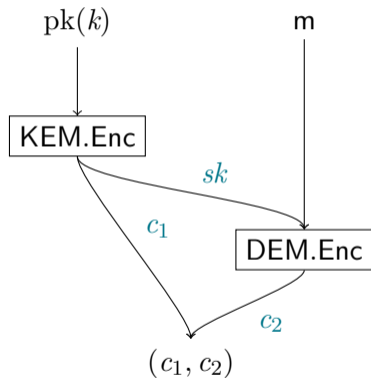
- Generate a *single-use key* and encrypt it *asymmetrically*
- Encrypt data *symmetrically* with said key

PKE

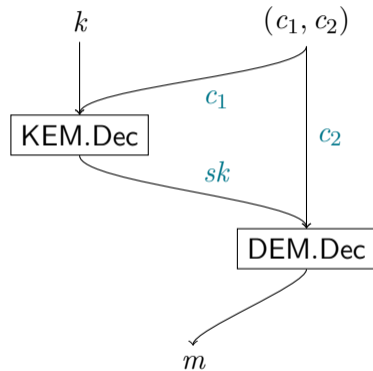
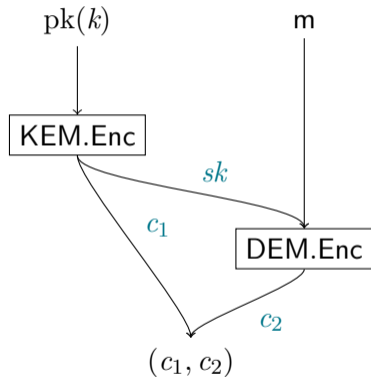
KEM

DEM

KEM/DEM Construction [3]



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 - even other ciphertexts derived from the one in question? *-CCA1*
-CCA2

PKE Indistinguishability Game [3]

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\mathcal{C}

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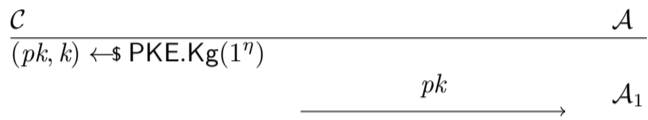
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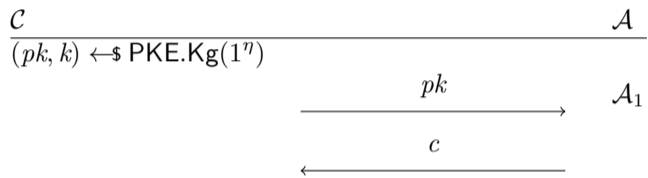


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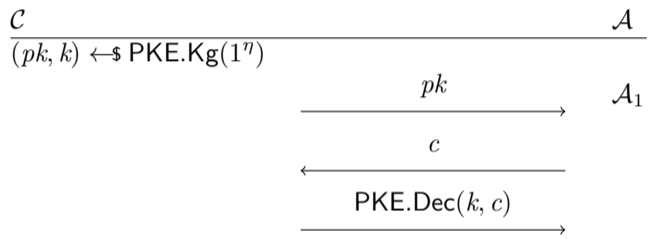
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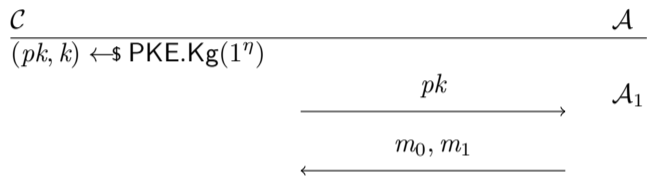
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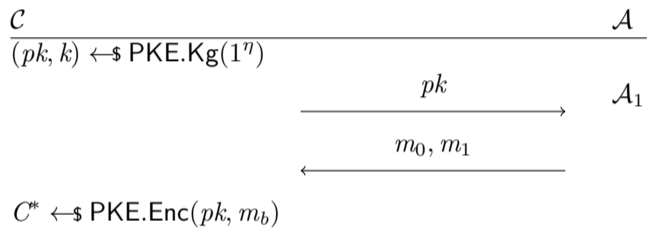
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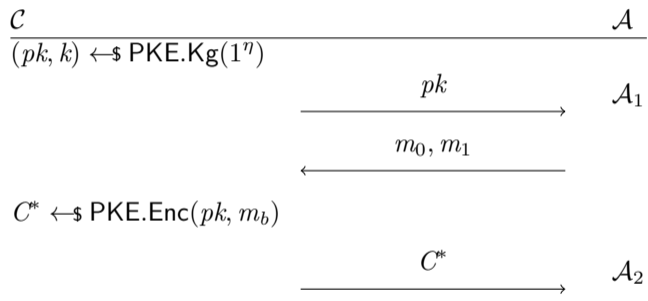
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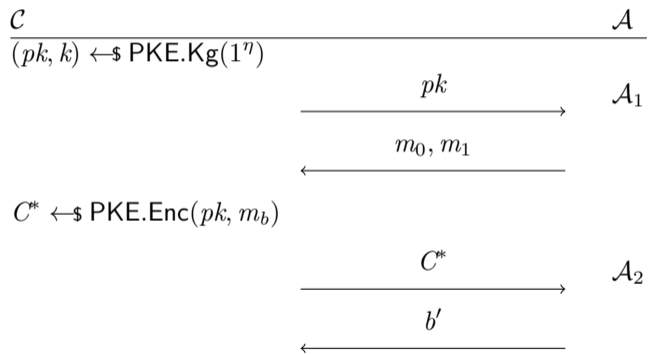
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<i>atk</i>	DEC ₁	DEC ₂
CPA	/	/
CCA1	PKE.Dec(k, \cdot)	/
CCA2	PKE.Dec(k, \cdot)	$x \mapsto \text{PKE.Dec}(k, x)$ if $x \neq C^*$

Hybrid Encryption Security

Herranz, Hofheinz, and Kiltz [3]

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- Security of hybrid PKE based on security of KEM and DEM

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 - implemented in the proof assistant SQUIRREL [2]

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CCSA Predicates

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REWRITE

$$\frac{\mathcal{E}; \Theta \vdash F[s] \quad \mathcal{E}; \Theta \vdash [s = t]}{\mathcal{E}; \Theta \vdash F[t]}$$

β

$$\frac{\beta}{\mathcal{E}; \Theta \vdash [(\lambda(x : \tau).t) t_0 = t\{x \mapsto t_0\}]}$$

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- ⇒ Proving primitives in the same logic provides *better formal guarantees*

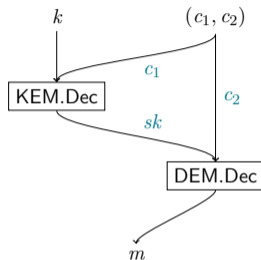
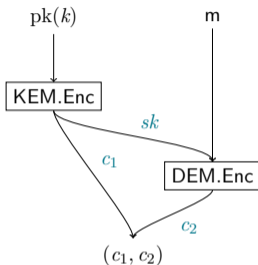
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- $\text{pk}(\mathbf{k}_i)$ derives the public key corresponding to \mathbf{k}_i

KEM/DEM Construction in CCSA



$$\frac{\text{PKE.Enc}(pk(\mathbf{k}_i), m)}{\text{let } (sk, c_1) = \text{KEM.Enc}(pk(\mathbf{k}_i)) \\ \text{in } (c_1, \text{DEM.Enc}(sk, m))}$$

$$\frac{\text{PKE.Dec}(\mathbf{k}_i, (c_1, c_2))}{\text{let } sk = \text{KEM.Dec}(\mathbf{k}_i, c_1) \\ \text{in DEM.Dec}(sk, c_2)}$$

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- \mathbf{k}_{t_k} vs \mathbf{k}_{t_k+1-1}

Guarded Occurrences

$$\phi_{\text{pk}(\mathbf{k}_{t_k}), \text{PKE.Dec}(\mathbf{k}_{t_k}, \cdot)}^{\text{guarded } \mathbf{k}, t_k}(\vec{u})$$

- consider all subterms \mathbf{k}_{t_0} of \vec{u} , and the *path conditions* ψ to reach them
 - if it occurs as part of $\text{pk}(\mathbf{k}_{t_k})$ or $\text{PKE.Dec}(\mathbf{k}_{t_k}, \cdot)$, ignore it
 - otherwise, make sure that $\psi \implies t_0 \neq t_k$

A syntactic check is *not* sufficient:

- \mathbf{k}_{t_k} vs \mathbf{k}_{t_k+1-1}
- indices could be function arguments, results of `if · then · else ·` expressions, etc

DEM Indistinguishability [3]

PKE Indistinguishability

$$\mathbf{Exp}_{\text{PKE}, \mathcal{A}}^{\text{pke-ind-atk-b}}(\eta)$$

$(pk, k) \leftarrow \$ \text{PKE.Kg}(1^\eta)$
 $(St, m_0, m_1) \leftarrow \$ \mathcal{A}_1^{\text{DEC}_1(\cdot)}(pk)$
 $C^* \leftarrow \$ \text{PKE.Enc}(pk, m_b)$
 $b' \leftarrow \$ \mathcal{A}_2^{\text{DEC}_2(\cdot)}(C^*, St)$
return b'

DEM Indistinguishability

$$\mathbf{Exp}_{\text{DEM}, \mathcal{A}}^{\text{dem-ind-atk-b}}(\eta)$$

$K \leftarrow \$ \text{DEM.Kg}(1^\eta)$
 $(St, m_0, m_1) \leftarrow \$ \mathcal{A}_1^{\text{ENC}_1(\cdot), \text{DEC}_1(\cdot)}(1^\eta)$
 $C^* \leftarrow \$ \text{DEM.Enc}(K, m_b)$
 $b' \leftarrow \$ \mathcal{A}_2^{\text{ENC}_2(\cdot), \text{DEC}_2(\cdot)}(C^*, St)$
return b'

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return b'

DEM Indistinguishability in CCSA

DEM-IND-CCA1

$$\mathcal{E}, \Theta; \emptyset \vdash_{\text{pptm}} C, \vec{a}, m_0, m_1$$

$$\mathcal{E}, \Theta \vdash [\phi_{\text{DEM.Enc}(\mathbf{sk}_{t_k}, \cdot, \cdot)}^{\text{guarded } \mathbf{sk}, t_k}(C)] \quad \mathcal{E}, \Theta \vdash [\phi_{\text{DEM.Enc}(\mathbf{sk}_{t_k}, \cdot, \cdot), \text{DEM.Dec}(\mathbf{sk}_{t_k}, \cdot)}^{\text{guarded } \mathbf{sk}, t_k}(\vec{a}, m)]$$

$$\mathcal{E}, \Theta \vdash (\lambda \vec{v} c. C) \vec{a} \text{DEM.Enc}(\mathbf{sk}_{t_k}, m_0) \sim (\lambda \vec{v} c. C) \vec{a} \text{DEM.Enc}(\mathbf{sk}_{t_k}, m_1)$$

DEM Indistinguishability in CCSA

DEM-IND-CCA1

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KEM Indistinguishability [3]

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$$\mathbf{Exp}_{\text{PKE}, \mathcal{A}}^{pke-ind-atk-b}(\eta)$$

$(pk, k) \leftarrow \$ \text{PKE.Kg}(1^\eta)$
 $(St, m_0, m_1) \leftarrow \$ \mathcal{A}_1^{\text{DEC}_1(\cdot)}(pk)$
 $C^* \leftarrow \$ \text{PKE.Enc}(pk, m_b)$
 $b' \leftarrow \$ \mathcal{A}_2^{\text{DEC}_2(\cdot)}(C^*, St)$
return b'

KEM Indistinguishability

$$\mathbf{Exp}_{\text{KEM}, \mathcal{A}}^{kem-ind-atk-b}(\eta)$$

$(pk, k) \leftarrow \$ \text{KEM.Kg}(1^\eta)$
 $St \leftarrow \$ \mathcal{A}_1^{\text{DEC}_1(\cdot)}(pk)$
 $K_0^* \leftarrow \$ \text{KeySp}(\eta)$
 $(K_1^*, C^*) \leftarrow \$ \text{KEM.Enc}(pk)$
 $b' \leftarrow \$ \mathcal{A}_2^{\text{DEC}_2(\cdot)}(C^*, St, K_b^*)$
return b'

KEM Indistinguishability in CCSA

KEM-IND-CCA1

$$\mathcal{E}, \Theta; \emptyset \vdash_{\text{pptm}} C, \vec{a} \quad \mathcal{E}, \Theta \vdash [\phi_{\text{fresh}}^{\text{sk}^*, ()}(C, \vec{a})]$$

$$\mathcal{E}, \Theta \vdash [\phi_{\text{pk}(\mathbf{k}_{t_k})}^{\text{guarded } \mathbf{k}, t_k}(C)] \quad \mathcal{E}, \Theta \vdash [\phi_{\text{pk}(\mathbf{k}_{t_k}), \text{KEM.Dec}(\mathbf{k}_{t_k}, \cdot)}^{\text{guarded } \mathbf{k}, t_k}(\vec{a})]$$

$$\mathcal{E}, \Theta \vdash \quad (\lambda \vec{v} (sk, c). C) \vec{a} \quad \sim \quad (\lambda \vec{v} (sk, c). C) \vec{a}$$

$$\text{KEM.Enc}(\text{pk}(k t_k)) \quad \sim \quad (\text{sk}^* (), \pi_2 \text{KEM.Enc}(\text{pk}(\mathbf{k}_{t_k})))$$

KEM/DEM Composition Results

Herranz, Hofheinz, and Kiltz [3]

KEM-IND-CPA + DEM-IND-CPA \implies PKE-IND-CPA

KEM-IND-CCA1 + DEM-IND-CCA1 \implies PKE-IND-CCA1

KEM-IND-CCA2 + DEM-IND-CCA2 \implies PKE-IND-CCA2

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KEM-IND-CCA1 + DEM-IND-CCA1 \implies PKE-IND-CCA1

KEM-IND-CCA2 + DEM-IND-CCA2 \implies PKE-IND-CCA2

$$(\lambda \vec{v} c.C) \vec{a} \text{PKE.Enc}(\text{pk}(\mathbf{k}_{t_k}), m_0) \sim (\lambda \vec{v} c.C) \vec{a} \text{PKE.Enc}(\text{pk}(\mathbf{k}_{t_k}), m_1)$$

KEM/DEM Composition Results

$$\begin{array}{l}
 (\lambda \vec{v} \ c \ \text{dec.} \ C) \ \vec{a} \\
 \text{let } (sk, c_1) = \text{KEM.Enc}(\text{pk}(\mathbf{k}_{t_k})) \\
 \text{in } (c_1, \text{DEM.Enc}(sk, m_0))
 \end{array}
 \sim
 \begin{array}{l}
 (\lambda \vec{v} \ c \ \text{dec.} \ C) \ \vec{a} \\
 \text{let } (sk, c_1) = \text{KEM.Enc}(\text{pk}(\mathbf{k}_{t_k})) \\
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 \end{array}$$

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$$\begin{array}{c}
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- DEM axioms not immediately applicable

KEM/DEM Composition Results

$$\begin{array}{c}
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 \text{in } (c_1, \text{DEM.Enc}(\mathbf{sk}^*(), m_0))
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- DEM axioms not immediately applicable
- KEM indistinguishability allows substituting a fresh symmetric key

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 \end{array}$$

- DEM axioms not immediately applicable
- KEM indistinguishability allows substituting a fresh symmetric key
- now resolvable with DEM indistinguishability

KEM/DEM Composition Results

$$\begin{array}{c}
 (\lambda \vec{v} c \text{ dec. } C) \vec{a} \\
 \text{let } (_, c_1) = \text{KEM.Enc}(\text{pk}(\mathbf{k}_{t_k})) \\
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 \end{array}$$

- DEM axioms not immediately applicable
 - KEM indistinguishability allows substituting a fresh symmetric key
 - now resolvable with DEM indistinguishability
- ⇒ CPA and CCA1 provable with only minor rewriting

For CCA2, we need to take care of guarded decryption:

```

if  $(x_1, x_2) = \text{PKE.Enc}(\text{pk}(\mathbf{k}_{t_k}), m)$ 
then  $\perp$  else  $\text{PKE.Dec}(\mathbf{k}_{t_k}, (x_1, x_2))$ 
  
```

KEM/DEM Composition: CCA2

For CCA2, we need to take care of guarded decryption:

```

if  $(x_1, x_2) = (\text{let } (sk, c_1) = \text{KEM.Enc}(\text{pk}(\mathbf{k}_{t_k})) \text{ in } (c_1, \text{DEM.Enc}(sk, m)))$ 
then  $\perp$  else let  $sk = \text{KEM.Dec}(\mathbf{k}_{t_k}, x_1)$  in  $\text{DEM.Dec}(sk, x_2)$ 
  
```

KEM/DEM Composition: CCA2

For CCA2, we need to take care of guarded decryption:

```

let  $(sk, c_1) = \text{KEM.Enc}(\text{pk}(\mathbf{k}_{t_k})); c_2 = \text{DEM.Enc}(sk, m)$ 
in if  $(x_1, x_2) = (c_1, c_2)$ 
then  $\perp$  else  $\text{DEM.Dec}(\text{KEM.Dec}(\mathbf{k}_{t_k}, x_1), x_2)$ 
  
```

KEM/DEM Composition: CCA2

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```

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then  $\perp$  else  $\text{DEM.Dec}(\text{KEM.Dec}(\mathbf{k}_{t_k}, x_1), x_2)$ 
  
```

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```

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in if  $(x_1, x_2) = (c_1, c_2)$ 
then  $\perp$  else if  $x_1 = c_1$  then  $\text{DEM.Dec}(sk, x_2)$ 
           else  $\text{DEM.Dec}(\text{KEM.Dec}(\mathbf{k}_{t_k}, x_1), x_2)$ 
  
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           else  $\text{DEM.Dec}(\text{if } (x_1 = c_1) \text{ then } \perp \text{ else } \text{KEM.Dec}(\mathbf{k}_{t_k,1}), x_2)$ 
  
```

For CCA2, we need to take care of guarded decryption:

```

let  $(sk, c_1) = \text{KEM.Enc}(\text{pk}(\mathbf{k}_{t_k})); c_2 = \text{DEM.Enc}(sk, m)$ 
in if  $(x_1, x_2) = (c_1, c_2)$ 
then  $\perp$  else if  $x_1 = c_1$  then if  $x_2 = c_2$  then  $\perp$  else  $\text{DEM.Dec}(sk, x_2)$ 
      else  $\text{DEM.Dec}(\text{if } (x_1 = c_1) \text{ then } \perp \text{ else } \text{KEM.Dec}(\mathbf{k}_{t_k,1}), x_2)$ 
  
```

KEM/DEM Composition: CCA2

For CCA2, we need to take care of guarded decryption:

```

let (sk, c1) = KEM.Enc(pk(ktk)); c2 = DEM.Enc(sk, m)
in if (x1, x2) = (c1, c2)
then ⊥ else if x1 = c1 then if x2 = c2 then ⊥ else DEM.Dec(sk, x2)
           else DEM.Dec(if (x1 = c1) then ⊥ else KEM.Dec(ktk,1), x2)
  
```

KEM-IND-CCA2 + DEM-IND-CCA2 \implies PKE-IND-CCA2 is also provable in CCSA

Conclusion

Main Result

Analyzing primitives in CCSA is clearly possible, and not overly difficult

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Other contributions:

Main Result

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- Extensive set of CCSA security definitions for KEM/DEM hybrid encryption

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Other contributions:

- Extensive set of CCSA security definitions for KEM/DEM hybrid encryption
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Future work:

Main Result

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Future work:

- Analyze concrete KEM and DEM

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- Analyze concrete KEM and DEM
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Future work:

- Analyze concrete KEM and DEM
- Proof of a protocol using abstract OT
- Implementation in SQUIRREL

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CCSA: Indistinguishability [1]

Def. CCSA indistinguishability

$t_1 \sim t_2$ if no polynomial-time attacker can distinguish between t_1 and t_2 :

$$\llbracket t_1 \sim t_2 \rrbracket_{\mathbb{M}; \mathcal{E}} := \forall \mathcal{A} \in \text{PPTM}, \text{Adv}_{\mathbb{M}; \mathcal{E}}^{\eta}(\mathcal{A} : t_1 \sim t_2) \in \text{negl}(\eta)$$

$$\text{Adv}_{\mathbb{M}; \mathcal{E}}^{\eta}(\mathcal{A} : t_1 \sim t_2) := |\Pr_{\rho \in \mathbb{T}_{\mathbb{M}, \eta}}[\mathcal{A}(1^{\eta}, \llbracket t_1 \rrbracket_{\mathbb{M}; \mathcal{E}}^{\eta; \rho}, \rho_a)] - \Pr_{\rho \in \mathbb{T}_{\mathbb{M}, \eta}}[\mathcal{A}(1^{\eta}, \llbracket t_2 \rrbracket_{\mathbb{M}; \mathcal{E}}^{\eta; \rho}, \rho_a)]|$$

$\text{negl}(\eta)$ denotes that the advantage grows slower than the inverse of any polynomial.

SYMMETRY

$$\mathcal{E}; \Theta \vdash \vec{u} \sim \vec{v}$$

$$\mathcal{E}; \Theta \vdash \vec{v} \sim \vec{u}$$

TRANSITIVITY

$$\mathcal{E}; \Theta \vdash \vec{u} \sim \vec{v} \quad \mathcal{E}; \Theta \vdash \vec{v} \sim \vec{w}$$

$$\mathcal{E}; \Theta \vdash \vec{u} \sim \vec{w}$$

CCSA: Overwhelming Truth [1]

Def. Overwhelming Truth

$[\phi]$: Formula ϕ almost always evaluates to true

$$[[\phi]]_{\mathbb{M};\mathcal{E}} := \Pr_{\rho \in \mathbb{T}_{\mathbb{M},\eta}} [\neg [[\phi]]_{\mathbb{M};\mathcal{E}}^{\eta,\rho}] \in \text{negl}(\eta)$$

REWRITE

$$\frac{\mathcal{E}; \Theta \vdash F[s] \quad \mathcal{E}; \Theta \vdash [s = t]}{\mathcal{E}; \Theta \vdash F[t]}$$

β

$$\frac{}{\mathcal{E}; \Theta \vdash [(\lambda(x : \tau).t) t_0 = t\{x \mapsto t_0\}]}$$

KEM/DEM Security Results

Herranz, Hofheinz, and Kiltz [3]:

$\text{KEM-IND-CCA2} + \text{DEM-IND-CCA2} \implies \text{PKE-IND-CCA2}$

$$\text{PKE.Enc}(\text{pk}(k, t_k), m, (r_1, t_r, r_2, t_r)) \stackrel{(\lambda, \vec{v}, c, C), \vec{a}}{\sim} \text{PKE.Enc}(\text{pk}(k, t_k), 0^{|m|}, (r_1, t_r, r_2, t_r)) \stackrel{(\lambda, \vec{v}, c, C), \vec{a}}{\sim}$$

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$$\begin{array}{l}
 (\lambda \vec{v} c \text{ dec. } C) \vec{a} \\
 \text{PKE.Enc}(\text{pk}(k t_k), m, (r_1 t_r, r_2 t_r)) \\
 (\lambda x. \text{if } x = \\
 \text{PKE.Enc}(\text{pk}(k t_k), m, (r_1 t_r, r_2 t_r)) \\
 \text{then } \perp \text{ else PKE.Dec}(k t_k, x))
 \end{array}
 \sim
 \begin{array}{l}
 (\lambda \vec{v} c \text{ dec. } C) \vec{a} \\
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$$\begin{aligned}
 & (\lambda \vec{v} c \text{ dec. } C) \vec{a} \text{ let } (sk, c_1) = \\
 & \quad \text{KEM.Enc(pk}(k t_k), r_1 t_r) \\
 & \text{ in } (c_1, \text{DEM.Enc}(sk, m, r_2 t_r)) \\
 & (\lambda(x_1, x_2). \text{if } (x_1, x_2) = \text{let } (sk, c_1) = \\
 & \quad \text{KEM.Enc(pk}(k t_k), r_1 t_r) \\
 & \text{ in } (c_1, \text{DEM.Enc}(sk, m, r_2 t_r)) \\
 & \quad \text{then } \perp \\
 & \text{ else let } sk = \text{KEM.Dec}(k t_k, x_1) \\
 & \quad \text{in DEM.Dec}(sk, x_2))
 \end{aligned}$$

\sim

$$\begin{aligned}
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 & \text{ in } (c_1, \text{DEM.Enc}(sk, 0^{|m|}, r_2 t_r)) \\
 & \quad \text{then } \perp \\
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 in $(\lambda \vec{v} c \text{ dec. } C) \vec{a}$
 $(c_1, \text{DEM.Enc}(sk, m, r_2, t_r))$
 $(\lambda(x_1, x_2). \text{if } (x_1, x_2) =$
 $(c_1, \text{DEM.Enc}(sk, m, r_2, t_r)) \text{ then } \perp$
 else let $sk' = \text{KEM.Dec}(k, t_k, x_1)$
 in $\text{DEM.Dec}(sk', x_2)$

\sim

let $(sk, c_1) =$
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